

Blame Assignment for Higher-Order Contracts with Intersection and Union

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Examples

$$Pos = \{x \mid x > 0\}$$

$$Even = \{x \mid x \% 2 = 0\}$$

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- $M@C$: assert contract C to term M

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- $10@Even \rightarrow 10$

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- $10@Pos \rightarrow 10$
- $10@Even \rightarrow 10$
- $(2 - 4)@Pos$ contract violation (by subject -2)

Examples

$$Pos = \{x \mid x > 0\}$$

$$Even = \{x \mid x \% 2 = 0\}$$

Assertion

- $M@C$: assert contract C to term M
- $10@Pos \rightarrow 10$
- $10@Even \rightarrow 10$
- $(2 - 4)@Pos$ contract violation (by subject -2)
- $(2 + 3)@Even$ contract violation (by subject 5)



Examples

$Pos \rightarrow Pos$ $Even \rightarrow Even$ $Pos \rightarrow (Even \rightarrow Even)$



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Assertion (first-order function)

- Let $f = \lambda x.x - 10$



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$Pos \rightarrow Pos$ $Even \rightarrow Even$ $Pos \rightarrow (Even \rightarrow Even)$

Assertion (first-order function)

- Let $f = \lambda x.x - 10$
- $(f @ (Pos \rightarrow Pos)) 100 \longrightarrow 90$

Examples

$Pos \rightarrow Pos$ $Even \rightarrow Even$ $Pos \rightarrow (Even \rightarrow Even)$

Assertion (first-order function)

- Let $f = \lambda x.x - 10$
- $(f@(Pos \rightarrow Pos)) 100 \longrightarrow 90$
- $(f@(Pos \rightarrow Pos)) 10$ blame subject f

Examples

$Pos \rightarrow Pos$ $Even \rightarrow Even$ $Pos \rightarrow (Even \rightarrow Even)$

Assertion (first-order function)

- Let $f = \lambda x.x - 10$
- $(f@(Pos \rightarrow Pos)) 100 \longrightarrow 90$
- $(f@(Pos \rightarrow Pos)) 10$ blame subject f
- $(f@(\mathbf{Pos} \rightarrow Pos)) 0$ blame context $\square 0$



Assertion

- Let $add = \lambda x. \lambda y. x + y$ and $C = Pos \rightarrow (Even \rightarrow Even)$

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Assertion

- Let $add = \lambda x. \lambda y. x + y$ and $C = Pos \rightarrow (Even \rightarrow Even)$
- $(add@C) 0$ blame context $\square 0$
- $((add@C) 1) 1$ blame context $(\square 1) 1$
- $((add@C) 1) 0$ **blame subject add**



Contracts \approx dynamically checked types

- flat contracts \approx subset types
- function contracts \approx function types

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Contract work driven by types . . .

- pair contracts [Hinze, Löh]
- sum contracts
- polymorphic contracts [Ahmed, Findler, Guha, Krishnamurthi, Matthews, Wadler]

This Work





What about intersection and union types?



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Intersection types

- modeling overloading
- multiple inheritance

What about intersection and union types?

Intersection types

- modeling overloading
- multiple inheritance

Union types

- dual of intersection type
- domain of overloaded function
- XML typing and dynamic typing

Intuition of intersection type

- If a term has both type S and T , then it also possesses the intersection type $S \cap T$.
- A context for $M : S \cap T$ can choose to treat M as S or T .

Introduction and elimination for intersection [Pierce 1991]

$$\frac{\text{INTER-I} \quad A \vdash V : S \quad A \vdash V : T}{A \vdash V : S \cap T}$$

$$\begin{array}{l} \text{SUB-INTER-L} \\ S \cap T <: S \end{array}$$

$$\begin{array}{l} \text{SUB-INTER-R} \\ S \cap T <: T \end{array}$$



An overloaded $+$ operator

- $+$: $Num \times Num \rightarrow Num$
- $+$: $Str \times Str \rightarrow Str$

Intersection for Overloading

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- $+$: $Str \times Str \rightarrow Str$
- hence $+$: $(Num \times Num \rightarrow Num) \cap (Str \times Str \rightarrow Str)$

If we had intersection contracts ...

Let $p = +@((Num \times Num \rightarrow Num) \cap (Str \times Str \rightarrow Str))$

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Let $p = +@ (Num \times Num \rightarrow Num) \cap (Str \times Str \rightarrow Str)$

- $p(17, 4) \rightarrow 21$

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Let $p = +@(\mathbf{Num} \times \mathbf{Num} \rightarrow \mathbf{Num}) \cap (\mathbf{Str} \times \mathbf{Str} \rightarrow \mathbf{Str})$

- $p(17, 4) \longrightarrow 21$
- $p(\text{"foo"}, \text{"bar"}) \longrightarrow \text{"foobar"}$

Intersection for Overloading

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- $+ : \text{Num} \times \text{Num} \rightarrow \text{Num}$
- $+ : \text{Str} \times \text{Str} \rightarrow \text{Str}$
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- $p(17, \text{"bar"})$ blame context $\square(17, \text{"bar"})$

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If we had intersection contracts ...

Let $p = +@((Num \times Num \rightarrow Num) \cap (Str \times Str \rightarrow Str))$

- $p(17, 4) \longrightarrow 21$
- $p("foo", "bar") \longrightarrow "foobar"$
- $p(17, "bar")$ blame context $\square(17, "bar")$

No subject blame because $+$ fulfills the intersection contract

Blaming Intersection



Let $C = (Pos \rightarrow Pos) \cap (Even \rightarrow Even)$

Let $f = \lambda x. \text{if } x > 2 \text{ then } x - 10 \text{ else } x + 1$

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- $(f@C)0$ blame subject

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- $(f@C)2$ blame subject

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- $(f@C)1 \rightarrow 2$
- $(f@C)2$ blame subject
- $(f@C)3$ blame subject

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- $(f@C)0$ blame subject
- $(f@C)1 \rightarrow 2$
- $(f@C)2$ blame subject
- $(f@C)3$ blame subject
- $(f@C)4$ **blame subject**

Subject blame

$\mathcal{L}[M@(C \cap D)]$ blames the subject iff
 $\mathcal{L}[M@C]$ blames the subject **or** $\mathcal{L}[M@D]$ blames the subject.

$$\frac{\text{INTER-I} \quad A \vdash V : S \quad A \vdash V : T}{A \vdash V : S \cap T}$$

Blaming Rules for Intersection

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Context blame

$\mathcal{F}[M@(C \cap D)]$ blames the context iff
 $\mathcal{F}[M@C]$ blames the context **and** $\mathcal{F}[M@D]$ blames the context.

$\mathcal{F} ::= E[\square V] \mid \dots$ an **elimination context**

$$\begin{array}{l} \text{SUB-INTER-L} \\ S \cap T <: S \end{array}$$

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Union Types



Intuition of union type

- If a term has type S **or** T , then it also possesses the union type $S \cup T$.
- A context for $M : S \cup T$ must be able to deal with S **and** T .

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Introduction and elimination for union [Pierce 1991]

UNION-E

$$\frac{A \vdash M : S \cup T \quad A, x : S \vdash N : R \quad A, x : T \vdash N : R}{A \vdash \text{let } x = M \text{ in } N : R}$$

SUB-UNION-L

$$S <: S \cup T$$

SUB-UNION-R

$$T <: S \cup T$$

Blaming Rules for Union



Dualize rules for intersection ...

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Subject blame

$E[M@(C \cup D)]$ blames the subject iff
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Viz. introduction rule.

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Viz. introduction rule.

Context blame

$\mathcal{L}[M@(C \cup D)]$ blames the context iff
 $\mathcal{L}[M@C]$ blames the context **or** $\mathcal{L}[M@D]$ blames the context.
Viz. elimination rules.

Let $C = (Pos \rightarrow Pos) \cup (Even \rightarrow Even)$

Let $g = \lambda x.x - 4$ (fulfills C because it fulfills $Even \rightarrow Even$)

\Rightarrow no subject blame arises!

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Blaming Union

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\Rightarrow no subject blame arises!

- $(g@C)0$ blame context
- $(g@C)1$ blame context
- $(g@C)(-1)$ blame context
- $(g@C)2$ $\rightarrow -2$ because of $Even \rightarrow Even$

But there is a further twist ...

Blaming Union II



Let $C = (Pos \rightarrow Pos) \cup (Even \rightarrow Even)$

Let $h = \lambda x. \text{if } x \% 3 = 0 \text{ then } -x \text{ else } x + 1$

Blaming Union II

Let $C = (Pos \rightarrow Pos) \cup (Even \rightarrow Even)$

Let $h = \lambda x. \text{if } x \% 3 = 0 \text{ then } -x \text{ else } x + 1$

Observation: h does not fulfill C

- h is not $Even \rightarrow Even$ because $h(2) = 3$
- h is not $Pos \rightarrow Pos$ because $h(3) = -3$

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Blaming

- $(h@C)2 \rightarrow 3$ because of $Pos \rightarrow Pos$

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Blaming

- $(h@C)2 \rightarrow 3$ because of $Pos \rightarrow Pos$
- $(h@C)3$ blame context: $Even$ violated

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Blaming

- $(h@C)2 \rightarrow 3$ because of $Pos \rightarrow Pos$
- $(h@C)3$ blame context: $Even$ violated
- $(h@C)0$ blame context: Pos violated

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Blaming

- $(h@C)2 \rightarrow 3$ because of $Pos \rightarrow Pos$
- $(h@C)3$ blame context: $Even$ violated
- $(h@C)0$ blame context: Pos violated
- $(h@C)6 \rightarrow -6$ because of $Even \rightarrow Even$

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Problem: no single test can detect the violation

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⇒ Union must stick to one alternative

A Denotational Model of Contracts

Intuition

The semantics of a contract C comprises

- 1 a set of terms $\llbracket C \rrbracket^+$ that fulfill the contract and
- 2 a set of contexts $\llbracket C \rrbracket^-$ that respect the contract.

Flat contracts

1 $\llbracket \{x \mid P\} \rrbracket^+ = \{M \mid (\lambda x.P) M \not\rightarrow^* \text{false}\}$

2 $\llbracket \{x \mid P\} \rrbracket^- = \{\mathcal{L} \mid \mathcal{L} \text{ is a context}\}$

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Example

$$\begin{aligned} \llbracket \text{Pos} \rrbracket^+ &= \{M \mid M > 0 \not\rightarrow^* \text{false}\} \\ &= \{1, 2, 3, \dots\} \cup \\ &\quad \{M \mid M \text{ diverges}\} \cup \\ &\quad \{M \mid (M > 0) \text{ gets stuck}\} \end{aligned}$$

Function contracts

- 1 $\llbracket C \rightarrow D \rrbracket^+ = \{M \mid \forall N \in \llbracket C \rrbracket^+. MN \in \llbracket D \rrbracket^+ \\ \wedge \forall \mathcal{N} \in \llbracket D \rrbracket^-. \mathcal{N}[M \square] \in \llbracket C \rrbracket^-\}$
- 2 $\llbracket C \rightarrow D \rrbracket^- = \dots$

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- Standard function interpretation

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- **NEW** M acts on contexts by transforming a context that respects D into a context that respects C
- Set of contexts that respect $C \rightarrow D$
 - promise to only pass argument that fulfill C
 - promise to put result in context respecting D

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- Standard function interpretation
- **NEW** M acts on contexts by transforming a context that respects D into a context that respects C
- Set of contexts that respect $C \rightarrow D$
 - promise to only pass argument that fulfill C
 - promise to put result in context respecting D
- Defined by coinductive inference rules

Selected rules for $P = \llbracket C \rightarrow D \rrbracket^-$

$$\begin{array}{c} \text{P-APPLY} \\ N \in \llbracket C \rrbracket^+ \quad E \in \llbracket D \rrbracket^- \\ \hline E[\Box N] \in P \end{array}$$

Selected rules for $P = \llbracket C \rightarrow D \rrbracket^-$

$$\text{P-APPLY} \quad \frac{N \in \llbracket C \rrbracket^+ \quad E \in \llbracket D \rrbracket^-}{E[\square N] \in P}$$

$$\text{P-REDUCE} \quad \frac{\mathcal{N} \in P \quad \mathcal{M} \rightarrow \mathcal{N}}{\mathcal{M} \in P}$$

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$\mathcal{M} \rightarrow \mathcal{N}$ is context reduction

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$$\frac{\text{P-REDUCE} \quad \mathcal{N} \in P \quad M \rightarrow \mathcal{N}}{M \in P}$$

$$\frac{\text{P-IRRED} \quad M \not\rightarrow \quad M \notin \{E[(\lambda x.N)\square], E[\square N]\}}{M \in P}$$

Beta redexes involving holes

$$\frac{\text{P-DELETE} \quad x \notin \text{free}(M)}{E[(\lambda x.M) \square] \in P}$$

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$$\frac{\text{P-EXPAND} \quad \forall \mathcal{F}, V. \lambda x.M = \lambda x.\mathcal{F}[x] \Rightarrow E[\mathcal{F}\{x \mapsto V\}] \in P}{E[(\lambda x.M) \square] \in P}$$

Beta redexes involving holes

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- P-DELETE and P-LINEAR are special cases of P-EXPAND

Intersection

- 1 $\llbracket C \cap D \rrbracket^+ = \llbracket C \rrbracket^+ \cap \llbracket D \rrbracket^+$
- 2 $\llbracket C \cap D \rrbracket^- = \llbracket C \rrbracket^- \cup \llbracket D \rrbracket^- \cup \dots$
(defined by closing under P-EXPAND etc)

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Union

- 1 $\llbracket C \cup D \rrbracket^+ = \llbracket C \rrbracket^+ \cup \llbracket D \rrbracket^+$
- 2 $\llbracket C \cup D \rrbracket^- = \llbracket C \rrbracket^- \cap \llbracket D \rrbracket^-$

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(defined by closing under P-EXPAND etc)

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- 2 $\llbracket C \cup D \rrbracket^- = \llbracket C \rrbracket^- \cap \llbracket D \rrbracket^-$

- Cf. blaming rules and typing rules

Intersection for flat contracts

$$\{x \mid P\} \cap \{x \mid Q\} = \{x \mid P \wedge Q\}$$

Union for flat contracts

$$\{x \mid P\} \cup \{x \mid Q\} = \{x \mid P \vee Q\}$$

- proof: simple calculation
- only subject blame
- context blame does not arise

Contract Monitoring

Challenges

- Small-step operational semantics
 - nondeterministic specification
 - deterministic implementation (with simulation result)
- Gathering blame for intersection and union
- Gathering blame across different uses of same union

Reduction relation

$$\rho, M \mapsto \varsigma, N$$

- M, N terms

Reduction relation

$$\varrho, M \mapsto \varsigma, N$$

- M, N terms
- ϱ, ς lists of constraints in order of generation

Reduction relation

$$\varrho, M \mapsto \varsigma, N$$

- M, N terms
- ϱ, ς lists of constraints in order of generation
- one constraint for each contract operator \rightarrow, \cup, \cap

Reduction relation

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- instead: blame computed from list of constraints

Flat contracts

I-FLAT

$$\varsigma, E[V @^b \text{flat}(M)] \longrightarrow \varsigma, E[V @^b \text{eval}(M V)]$$

I-UNIT

$$\varsigma, E[V @^b \text{eval}(W)] \longrightarrow b \blacktriangleleft (W) : \varsigma, E[V]$$

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Solution of a constraint set

$$\mu \in ((b) \times \{subject, context\}) \rightarrow \mathbb{B}$$

- for each blame identifier b
- assign subject blame and context blame
- drawn from $\mathbb{B} = \{t, f\}$
- ordered by $t \sqsubseteq f$

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- Ordering reflects gathering of information with each execution step
 - False has “more” information because it indicates a failing contract

Flat contracts

$$\text{CT-FLAT} \quad \frac{\mu(b.subject) \sqsupseteq \tau(W) \quad \mu(b.context) \sqsupseteq t}{\mu \models b \blacktriangleleft W}$$

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- Raise blame if b is a blame label from the source program and either $\mu(b.subject) \sqsupseteq f$ or $\mu(b.context) \sqsupseteq f$

Function contracts

D-FUN

$$\frac{\iota_1, \iota_2 \notin \varsigma}{\varsigma, E[(V @^b (C \rightarrow D)) W]} \longrightarrow b \blacktriangleleft (\iota_1 \rightarrow \iota_2) : \varsigma, E[(V (W @^{\iota_1} C)) @^{\iota_2} D]$$

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Evaluation Rules

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Satisfaction for function constraints

CT-FUNCTION

$$\frac{\begin{array}{l} \mu(b.subject) \sqsupseteq \mu(\iota_1.context \wedge (\iota_1.subject \Rightarrow \iota_2.subject)) \\ \mu(b.context) \sqsupseteq \mu(\iota_1.subject \wedge \iota_2.context) \end{array}}{\mu \models b \blacktriangleleft \iota_1 \rightarrow \iota_2}$$

Intersection contracts

D-INTER

$$\frac{\iota_1, \iota_2 \notin \varsigma}{\varsigma, E[(V @^b (Q \cap R)) W]} \rightarrow b \blacktriangleleft (\iota_1 \cap \iota_2) : \varsigma, E[\langle (V @^{\iota_1} Q) W \parallel (V @^{\iota_2} R) W \rangle]$$

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Intersection constraints

CT-INTERSECTION

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- 1 $M @^b C \in \llbracket C \rrbracket^+$.
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Context blame soundness (abridged)

Suppose that $\mathcal{L} \in \llbracket C \rrbracket^-$.

If $\varrho, \mathcal{L}[M @^b C] \mapsto^* \varsigma, N$, then $\llbracket \varsigma \rrbracket(b, \text{context}) \sqsubseteq t$.



- Deal with $(A \cup B) \cap (C \cup D)$
- Solutions don't increase monotonically when new constraints are added
- Deterministic semantics and simulation
- Implementation



- First investigation of intersection and union contracts
- Novel semantics of contracts (subject, context)
- Implemented in TreatJS, a new contract system for JavaScript, which is available on the web
<http://proglang.informatik.uni-freiburg.de/treatjs/>